Issues in RTI Implementation
- the Rajasthan Experience

12th Annual Convention on RTI
New Delhi – December 6, 2017
Implementation of RTI in Rajasthan

• RTI Act 2005 made effective in Rajasthan w.e.f. October 12, 2005

• Estimated current state of RTI work in Rajasthan (annual basis):
  • 200,000 information applications (under Sec. 6-1 of the Act)
  • 20,000 first appeals (under Sec. 19-1 of the Act)
  • 10,000 second appeals (under Sec. 19-3 of the Act)

• Present strength of the State Information Commission:
  • CIC and 2 Information Commissioners
  • Total other staff - 70
Gaps in Suo-moto Disclosure

• Inadequate steps taken by various public authorities for Sec. 4-1(b) implementation during initial preparatory phase

• Suo moto disclosure still lacking in quality - information needs of public are not fully met

• Many public authorities remain lukewarm towards requirement of constant updation of websites

• Third party audit of Sec. 4-1(b) compliance in most Public Authorities has not been attempted
Faulty Records Management

• RTI Act 2005 envisages transparency - State records to be freely accessible to lay public
• This presupposes methodical keeping of available record to allow hassle-free and smooth reach to items of interest
• Actual experience has been far from idyllic:
  • record is badly stored and at times physically damaged
  • indexation is largely absent
  • manual perusal of voluminous files is only way to get to needed papers
  • old files are difficult to locate
  • large number of information seekers meet with stock reply that record is missing and copy can not be provided
  • govt. officials are loath to make extra efforts to search for old and misplaced files
  • they instead attempt to shift responsibility and divert applicants to sister offices or provide inadequate replies
  • this leads to a plethora of consequent information requests and appeals and complaints, thereby burdening the RTI machinery inordinately
Resource Crunch Manifestation

• Poor Infrastructure: Inadequate SPIO offices, staffing shortages, basic storage/retrieval and copying equipment unavailable at village locations, lack of computerisation and internet connectivity

• Poor manpower: SPIO staff lack training, some officials continue to have apathetic mindset, bureaucratic approach and tendency to shirk both work and responsibility

• Poor quality of information provided: recourse taken to loopholes and technicalities, requests side-tracked and stonewalled, frequent recourse to “missing files” excuse
Suggestions for Moving Forward

• Sustained public awareness campaigns through existing govt. machinery and inclusion of reputed NGOs to increase RTI use by weaker and under-privileged sections

• Infusion of information and communication technology to overcome difficulties in RTI implementation and management

• Digitisation of records, starting with exhaustive file listings and proper indexation, to help locate/retrieve information

• Training of public officials, including for behavioural/attitudinal change and in use of new computer-based systems and procedures
Smooth Flow in RTI Work at Apex Level

• Another issue highlighted through ongoing analysis of work in the Rajasthan State Information Commission can be described as:
  • allocation of hearing opportunity to appellants/complainants is based on first come first served basis in general
  • various segments of information seekers can be discerned
  • present glut of appeals/complaints can be attributed to some segments
  • usage of Commission time and resources by these segments is disproportionate to their numerical size/strength
  • equitable availability of hearing opportunity to all segments is defeated by such activity on part of these segments
Analysis of Work in RSIC

• Meta-data of appeals dealt with in the time period from January 2010 to August 2016 was scrutinised to determine number of appeals preferred by individual appellants

• A list of appellants was prepared in rising order of number of appeals by each appellant

• The numerical values of cumulative appeals (in percentage terms) preferred by cumulative share of appellants (also in percentage terms) was plotted

• This yielded a typical Lorenz curve depicting the inequality in usage of Commission time and resources by different appellants distinguished by volume of appeals preferred
Cumulative Appeals V/S Appellants Plot
RSIC: Jan 2010 to Aug 2016
44376 appeals - 13838 appellants

GINI Coefficient = 0.61

75% appellants preferred only 25% of the appeals while balance
25% appellants preferred remaining 75% of the appeals
Segmentation of Appellant Population

• To distinguish appellants on basis of volume of appeals preferred, they were characterized as Regular, Persistent and Dedicated:
  • Regular – preferred from 1 to 5 appeals in the period
  • Persistent – preferred from 6 to 50 appeals in the period
  • Dedicated – preferred more than 51 appeals in the period

• The numerical size of each segment was measured as also the total numbers of appeals preferred by each segment

• Plots of the share (in percentage terms) of each segment in number of appellants as also number of appeals preferred highlights the inequality in usage of Commission time and resources by them
% Appellants by Category
RSIC: Jan 2010 to Aug 2016
13838 appellants in all

- Regular appellants (1 to 5 appeals): appellants 12582 - appeals 18794
- Persistent appellants (6 to 50 appeals): appellants 1171 - appeals 15161
- Dedicated appellants (51 plus appeals): appellants 85 - appeals 10421
Appeals Preferred % by Category
RSIC: Jan 2010 to Aug 2016
44376 appeals in all

- Regular appellants (1 to 5 appeals): appellants 12582 - appeals 18794
- Persistent appellants (6 to 50 appeals): appellants 1171 - appeals 15161
- Dedicated appellants (51 plus appeals): appellants 85 - appeals 10421
Appellant Category & Impact on Resources
RSIC: Jan 2010 to Aug 2016
13838 appellants - 44376 appeals

- **Regular appellants (1 to 5 appeals):**
  - Appellants: 12582
  - Appeals: 18794

- **Persistent appellants (6 to 50 appeals):**
  - Appellants: 1171
  - Appeals: 15161

- **Dedicated appellants (51 plus appeals):**
  - Appellants: 85
  - Appeals: 10421
Putting Appellant Segments in Context

• Typical description of different appellant segments:
  • Regular Information Seeker – usually comes forward in a single matter related to personal need for particular information, either in case of “missing record” or “refused” category of SPIO response and easily reconciles to the existing factual or legal circumstance
  • Persistent Information Seeker – usually files multiple appeals in one or related matters of grievance redressal and at times seeks to use RTI as a weapon to browbeat target public officials or settle personal grudges
  • Dedicated Information Seeker – usually files scores of appeals in numerous matters with view to social service, possible self-aggrandizement or even to dominate target SPIO offices for either influence-peddling or downright blackmail and extortion (the latter self-seekers may often outnumber the public spirited in ratio)
Dealing with Inherent Inequalities

• There is need to encourage the Regulars while discouraging the self-seeking component in the other segments in the interest of actualising the outcomes of transparency, accountability and efficiency that the RTI Act promises

• Public awareness campaigns, strengthening of public grievance redressal mechanisms, infrastructure build-up and proper training to improve human resources could go a long way towards this

• The glut of appeals coming from self-seekers could be addressed by prioritising the scheduling or listing of appeals from the Regulars over the others – one way sometimes suggested for this being the limiting of daily hearings to at most two for any given appellant
Thank You